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| COLORADO DEPARTMENT OF TRANSPORTATION (CDOT)**Traffic Records Unit**FFY2023 Funding Application – 405 C**Application Due Date:**March 31, 2023**Application Submittal:**Submit application electronically, in Word format, via e-mail to BoYan.Quinn@State.CO.US; David.Swenka@State.CO.US**AND** mail or deliver two signed original hardcopies to the address listed below. The applications **MUST** contain a signature.Colorado Department of Transportation; Attn: BoYan QuinnHQ Safety and Traffic Engineering Branch; Safety Program Unit2829 W. Howard Place (4th Floor) Denver, CO 80204 303-757-9466Please send all questions by email to the addresses noted above. |

# PART 1

**Introduction**

Project title:

**Check the performance measure area(s) that is addressed by this project:**

[ ]  Crash

[ ]  Citation/Adjudication

[ ]  Emergency Medical Services

[ ]  Vehicle

[ ]  Driver

[ ]  Roadway

**Check the emphasis area(s) that best describes the focus of this project:**

 (Separate applications need to be submitted for more than one project)

[ ]  Timeliness [ ]  Uniformity

[ ]  Accuracy [ ]  Accessibility

[ ]  Completeness [ ]  Integration

Applicant Agency/Organization:

Applicant Project Director:

 *Name Title*

*Address City/Zip email telephone*

**Required Application Elements:**

**Please verify each item below is provided or attached:**

**Applicant Agency DUNS #:**

**View DUNS #** [**https://www.dandb.com/dunsnumberlookup/**](https://www.dandb.com/dunsnumberlookup/)

**(Please ensure the number you enter matches the Address, Department and Agency exactly)**

Obtain DUNS# **-** [**https://www.sba.gov/contracting/getting-started-contractor/get-d-u-n-s-number**](https://www.sba.gov/contracting/getting-started-contractor/get-d-u-n-s-number)

**Proof of Good Standing \_\_\_**

(Print and attach from) [Colorado Secretary of State](http://www.sos.state.co.us/)

**Certificate of Insurance \_\_\_**

**Proof of Active Status in SAM.gov \_\_\_**

(Print and attach) type into your search bar www.sam.gov

**Cognizant Agency Indirect Cost \_\_\_**

**Rate Agreement, if Applicable**

(Attach, if applicable)

# PART 2

**Description of Activity and Budget**

In this section, describe the following six topics in narrative form. The narrative for all topics may not exceed six single-sided pages – the page limit **does not include** the budget pages or budget narrative. Use at least a 12-point font. Hand-written applications will not be accepted.

**1)** **Problem Identification** *- Describe the problem to be addressed, supported by current and relevant local data. Define your target population and describe how they are impacted by this problem. Use data specific to the local area and the target population the project intends to serve. Please refer to* [*http://www.coloradodot.info/business/grants/safetygrants*](http://www.coloradodot.info/business/grants/safetygrants) *- for data sources and more information. Data points that serve as a baseline measure to evaluate your project impact on the target population and local area must be included.*

**2)** **Project Goal(s), Objectives and Activities** –

***Goals -*** *A broad statement about what the program expects to achieve. The goal is the description of the final anticipated outcome or result.*

***Objectives -*** *Objectives are clear, realistic, specific and measurable. Objectives tell how a goal will be met.*

***Activities –*** *Activities comprise the plan of operation for the project. In a detailed and concise way, the activities describe how each objective will be achieved.*

**3)** **Project Evaluation** - *Describe the evaluation strategy that will be used to show project effectiveness and document successful activities. An evaluation plan should be designed so that an independent observer can confirm or measure whether or not the objectives have been met and progress was made toward the goal(s) by changing numbers, knowledge, attitudes, or behaviors. Performance measures (PM) should follow the format described in “Model PM for state traffic records systems” (specifically, page 11).*

**4)** **Agency Qualifications** *- Describe the applicant agency’s resources and skills to adequately manage the project. Briefly describe qualifications of staff or plans to train the staff who will work on the proposed project, including fiscal staff.*

**5)** **Community Collaboration and Support** - *All projects should demonstrate that partnerships exist or are being developed. Identify partnerships and planning groups that were included in the planning and implementation of this project*. *Those partnerships may include, but are not limited to, law enforcement, traffic engineering, health departments, social services agencies, schools, private businesses, churches, foundations and other community-based coalitions and organizations.*

**6)** **Long-Term Sustainability and** **Total Project Funding** *- Describe the plan for reducing reliance on federal funding in the future. Describe the long-term plan for the programmatic development and ongoing financial support of the project. Is this project being funded using funds other than those provided from this grant? If yes, list the type and approximate amount of other funding that will be used to support this project. Has this project previously been funded by CDOT? If yes, list the years funded, approximate dollar amounts and progress to date in addressing the identified problem.*

**7) Budget Narrative** - *Provide a justification and explanation of the budget items listed on the*

*Detailed Budget Table. All budget figures should be justified and explained.**Failure to justify and explain/show calculations could result in denial of funding.*

**PERSONAL SERVICES:** Explain how the salary and fringe benefit and overtime rates for each position were determined. ***NOTE:* Contract employees or independent contractors should be shown under Contractual Services, not Personal Services.**

**OPERATING EXPENSES:** Explain how the costs were determined and justify the need for the various line items. Items with a unit cost less than $5,000 are considered operating expenses and should be listed in this category. Items/equipment costing $5,000 or more should be listed under Capital Equipment (see below). All purchases should be made through competitive bid, state or local award, or established purchasing procedures.

**CONTRACTUAL SERVICES:** Explain why proposed consultant services cannot or should not be provided by project staff. Explain how the hourly rate or flat rate was determined. For each consulting organization, indicate the number of people to be assigned to the project, number of hours per person per day to be spent on the project, and a breakdown of the contract price by major cost item. Professional services should be procured competitively.

**TRAVEL:** Explain the relationship of each cost item to the project, (e.g., if in-state training or conference travel expenses are requested, explain the topic of the conference and its relationship to the project). **Do not** include out-of-state travel costs – these costs must be requested and approved through a separate process that will be detailed if funds are awarded. Mileage should be listed as an Operating Expense.

**CAPITAL EQUIPMENT:** Equipment is defined as an item that has a unit cost of **$5,000** or more and a useful life of one year or more. Explain why the proposed equipment is essential to conducting the project and is not currently available for use within your agency or organization. If the proposed equipment is to be shared with entities outside this project, the cost should be pro-rated based upon the percentage of use of the equipment by this project. All equipment must be purchased through competitive bid state or local award, or established purchasing procedures.

**MATCH:** Match must equal 25% of federal funds requested or 20% the total project cost and can be reflected in a lump sum in one lineitem, or across multiple items or budget categories. The contributions can be in-kind services or direct cash support. Other sources of federal revenue may not be used as an agency match for highway safety projects.

**PART 3**

**Detailed Budget**

**PERSONAL SERVICES**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Name of Position** | **Annual Full-time Salary** | **Annual Fringe Benefit Cost** | **Total Annual Salary** | **% of time to this project** | **Total****($)** | **CDOT****Share****($)** | **Local Match****($)** |
|       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |
|       |       |       |       |       |       |       |       |
| **SUBTOTAL** |       |       |       |       |       |       |       |

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| **(2) OVERTIME** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Name** | **Overtime****Rate****($)** | **No. of****Hours** | **Total****Cost****($)** | **CDOT****Share****($)** | **Local Match****($)** |
|       |       |       |       |       |       |
|       |       |       |       |       |       |
|       |       |       |       |       |       |
| **SUBTOTAL** |       |       |       |

|  |  |  |  |
| --- | --- | --- | --- |
| **TOTAL PERSONAL SERVICES** | $      | $      | $      |
|  |  |      % |      % |

**OPERATING EXPENSES**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Description** | **Number****of****Units** | **Cost per Unit****($)** | **Total Cost****($)** | **CDOT****Share****($)** | **Local Match****($)** |
|       |       |       |       |       |       |
|       |       |       |       |       |       |
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|       |       |       |       |       |       |
|  | **Total Cost** | **CDOT Share** | **Local Match** |
| **TOTAL OPERATING EXPENSES** | $      | $      | $      |
|  |  |      % |      % |

**CONTRACTUAL SERVICES**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Contractor** | **Dates of****Contract** | **Hourly Rate****($)** | **Total****Cost****($)** | **CDOT****Share****($)** | **Local Match****($)** |
|       |       |       |       |       |       |
|       |       |       |       |       |       |
|       |       |       |       |       |       |

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Total Cost** | **CDOT Share** | **Local Match** |
| **TOTAL CONTRACTUAL SERVICES** | $      | $      | $      |
|  |  |      % |      % |

**TRAVEL**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Description** | **In****State****($)** | **Total Cost****($)** | **CDOT Share****($)** | **Local Match** **($)** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|       |  |       |       |       |       |
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|       |  |       |       |       |       |
|       |  |       |       |       |       |
|  | **Total Cost** | **CDOT Share** | **Local Match** |
| **TOTAL TRAVEL**  | $      | $      | $      |
|  |  |      **%** |      **%** |

**CAPITAL EQUIPMENT**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Description** | **No. of****Units** | **Cost per****Unit****($)** | **Total Cost****($)** | **CDOT****Share****($)** | **Local Match****($)** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
|       |       |                                |       |       |       |
|       |       |       |       |       |
|       |       |       |       |       |
|  | **Total Cost** | **CDOT Share** | **Local Match** |
| **TOTAL CAPITAL EQUIPMENT** | $      | $      | $      |
|  |  |      **%** |      **%** |

Budget Summary

 **CDOT Share** **Match**

1. Total Personal Services: $      $

2. Total Operating Expenses/Incentives: $      $

3. Total Contractual Services: $      $

4. Total Travel: $      $

5. Total Capital Equipment: $      $

6. Total lines1-5 (Amount Requested from CDOT): $

7. Total lines 1-5 (Amount provided by applicant): $

**Total Project Cost (Add lines 6 & 7): $**

**PART 4**

**Certifications and Assurances**

It is hereby understood that this Application and the attachments hereto, when approved and signed by all concerned parties, as indicated shall constitute an agreement by and between the applicant organization to perform in accordance with the terms of this Application and attachments, taken as a whole. This agreement is based on CDOT procedures and Federal guidelines found in 49 CFR, Part 18 and 2 CFR, Chapter I, Chapter II, Part 200 in order to standardize and simplify federal grants. The signature below of an authorized representative of the applicant agency certifies and ensures that all the following conditions will be met.

1. Reports – The Contractor shall submit quarterly reports, a final report at the end of the project, and special reports, if any, as outlined in the Project Agreement. Please read Part 5, Reporting Requirements, following this section.
2. Copyrights, Publications, and Patents – Where activities supported by this project produce original copyright material, the Contractor may copyright such, but CDOT reserves nonexclusive and irrevocable license to reproduce, publish, and use such materials and to authorize others to do so. The Contractor may publish, at its own expense, the results of project activities without prior review by CDOT, provided that any publications (written, visual or sound) contain acknowledgment of the support provided by the National Highway Traffic Safety Administration (NHTSA) and CDOT. Any discovery or invention derived from work performed under this project shall be referred to CDOT, who will determine through NHTSA whether patent protections will be sought, how any rights will be administered, and other action required to protect the public interest.
3. Termination – This project agreement may be terminated or fund payments discontinued or reduced by CDOT at any time upon written notice to the Contractor due to non-availability of funds, failure of the Contractor to accomplish any of the terms herein, or from any change in the scope or timing of the project.
4. Fiscal Records – Contractor will maintain complete and detailed accounting records of all costs incurred on this project, including documentation of all purchases of supplies, equipment, and services; travel expenses; payrolls; and time records of any person employed part-time on this project. Federal, State or CDOT auditors shall have access to any records of the Contractor. These records shall be retained for three years after the final audit is completed or longer, if necessary, until all questions are resolved.
5. Funding – The Contractor will utilize funds provided to supplement and not to supplant state and local funds otherwise available for these purposes. Funds are to be expended only for purposes and activities approved in the project agreement. Reimbursement will be made periodically by CDOT based on approved requests for reimbursement. If matching funds are required, the Contractor will expend them from nonfederal sources, which must be spent no later than 30 days following the completion of the project.
6. Cost Principles and Grant Management – The eligibility of costs incurred and the management of this project shall be determined in accordance with 2 CFR, Chapter I Chapter II Part 200 and 49 CFR, Part 18 for state and local agencies and educational institutions, and 2 CFR, Part 230 for nonprofit entities.
7. Obligation Funds – Federal funds may not be obligated prior to the effective date or subsequent to the termination date of the project period. Requests for reimbursement outstanding at the termination date of the project must be made within 30 days or those funds may not be paid.
8. Changes – The Contractor must obtain prior written approval from CDOT for major project changes, including: changes of substance in project objectives, evaluation, activities, the project manager, key personnel, project budget or transfer of funds from one category in the budget to another. The period of performance of the project, however, cannot be changed.
9. Program Income – CDOT safety programs encourage Contractors to earn income to help defray program costs, but there are federal regulations that must be followed. Program income is defined as gross income received by the State and/or Contractor directly generated by a grant supported activity, or earned only as a result of the grant agreement during the grant period. Income earned by the Contractor with respect to the conduct of the project (sale of publications, registration fees, service charges, donations for child safety seats, etc.) must be accounted and income applied to project purposes, used to reduce project costs, or be used to meet cost agency matching requirements. The Contractor is responsible for reporting all program income according to federal and state requirements.
10. Purchases – Purchase of equipment or services must comply with state or local regulations. After the end of the project period, equipment should continue to be utilized for traffic safety purposes and cannot be disposed of without written approval of CDOT. The Contractor shall make and maintain an inventory of equipment to include descriptions, serial numbers, locations, costs or other identifying information, and submit a copy to CDOT.
11. Third Party Participants – No contracts or agreements may be entered into by the Contractor related to this project which are not incorporated into the project agreement and approved in advance by CDOT. The Contractor will retain ultimate control and responsibility for the project. CDOT shall be provided with a copy of all contracts and agreements entered into by Contractors. Any contract or agreement must allow for the greatest competition practicable and evidence of such competition or justification for a negotiated contract or agreement shall be provided to CDOT.
12. Participation by Disadvantaged Business Enterprises – The contractor agrees to take all necessary and reasonable steps in accordance with Title 49, CFR, Subtitle A, Part 26 to ensure that minority business enterprises have the maximum opportunity to compete for and perform contracts. Recipients and their contractors shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of any subcontracts financed in whole or in part with federal funds.
13. Non Discrimination – In the performance of this agreement the Contractor, by its signature below, certifies and assures that it shall comply with all Federal statutes and implementing regulations relating to nondiscrimination. (These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin (and 49 CFR Part 21); (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794) and the Americans with Disabilities Act of 1990 (42 USC § 12101, et seq.; PL 101-336), which prohibits discrimination on the basis of disabilities (and 49 CFR Part 27); (d) the Age Discrimination Act of 1975, as amended (42U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970(P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse of alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; The Civil Rights Restoration Act of 1987, which provides that any portion of a state or local entity receiving federal funds will obligate all programs or activities of that entity to comply with these civil rights laws; and, (k) the requirements of any other nondiscrimination statute(s) which may apply to the application. The Contractor shall not discriminate on the basis of race, color, national origin, sex, religion, age, creed, Vietnam Era and Disabled Veterans status or sensory, mental or physical handicap in the provision of any terms and conditions of employment or the provision of service or benefits otherwise afforded and will take the affirmative action necessary to accomplish the objects of the above referenced laws.
14. Political Activities – In accordance with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) no funds, materials, equipment, or services provided in this project agreement shall be used for any partisan political activity or to further the election or defeat of any candidate for public office or to pay any person to influence or attempt to influence an officer or employee of congress, or an employee of a member of congress, an officer or employee of congress in connection with the awarding of any federal loan or the entering in of any cooperative agreements.
15. Single Audit –All non-Federal entities that expend $750,000 or more of Federal awards in a year are required to obtain an annual audit in accordance with the Single Audit Act Amendments of 1996, CFR 200 Chapter I, Chapter II - Sub Part F, the OMB Circular Compliance Supplement and Government Auditing Standards. A single audit is intended to provide a cost-effective audit for non-Federal entities in that one audit is conducted in lieu of multiple audits of individual programs. Nonfederal entities include States, Local Governments, and Non-Profit Organizations. The term non-profit organization includes non-profit institutions of higher education and hospitals.
16. Safety Belt Policy – No funds, materials, property, or services will be provided to any political subdivision that does not have a current and actively enforced policy requiring the use of seat belts.
17. Drug Free Workplace – In accordance with the Anti-Drug Act of 1988 (41 USC 702-707) and Drug-Free Workplace (42 USC 12644), CDOT has the responsibility to ensure that unlawful manufacture, distribution, dispensing, possession or use of a controlled substance by any employees, grantees, and/or sub-grantee of the Contractor and/or any such activity is prohibited in the Contractor’s workplace.

1. Colorado Standard Field Sobriety Testing – All law enforcement officers who are performing impaired driving enforcement activities with funding from CDOT must be in compliance with the current Colorado Standards for Field Sobriety Testing Standards.
2. Debarment and Suspension - The applicant certifies, by signature below, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
3. Restriction on State Lobbying - None of the funds under this program shall be used for any activity specifically designed to urge or influence a state or local legislator to favor or oppose the adoption of any specific legislative proposal pending before any state or local legislative body. Such activities include both direct and indirect e.g., “grassroots” lobbying activities, with one exception. This does not preclude a State official whose salary is supported with NHTSA funds from engaging in direct communications with state or local legislative officials, in accordance with customary state practice, even if such communications urge legislative officials to favor or oppose the adoption of a specific pending legislative proposal.
4. Certification Regarding Federal Lobbying - The undersigned certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

3. The undersigned shall require that the language of this certification be included in the award documents for all sub-award at all tiers (including subcontracts, subgrants, and contracts under grant, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

1. Federal Funding Accountability and Transparency Act – The State is required to report for each sub-grant awarded as shown below. Contractor agrees to provide the information below upon request for reporting purposes.

• Name of the entity receiving the award;

• Amount of the award;

• Information on the award including transaction type, funding agency, the North American Industry Classification System code or Catalog of Federal Domestic Assistance number (where applicable), program source;

• Location of the entity receiving the award and the primary location of performance under the award, including the city, State, congressional district, and country; and an award title descriptive of the purpose of each funding action;

• A unique identifier (DUNS);

• The names and total compensation of the five most highly compensated officers of the entity if-- of the entity receiving the award and of the parent entity of the recipient, should the entity be owned by another entity;

(i) the entity in the preceding fiscal year received—

(I) 80 percent or more of its annual gross revenues in Federal awards; and

(II) $25,000,000 or more in annual gross revenues from Federal awards; and

(ii) the public does not have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986;

1. System For Award Management (SAM) and Universal Identifier Requirements – Requires that the contractor be registered in the SAM.Gov prior to submitting an application or plan; and maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by an agency.
2. Buy American Act - Citation: Public Law 112-141/MAP-21 requires that states comply with the Buy America Act. The undersigned certifies, to the best of his or her knowledge and belief, that: No federal highway grant funds under 23 U.S.C. Chapter 4 will be used to purchase products, unless they are produced in the United States. This prohibition applies to steel, iron, and all manufactured products, unless the Secretary of Transportation has determined that it is appropriate to waive the Buy America Act requirement. There is a threshold of single purchase costing less than $5,000 that is exempt from the need for a waiver.

The Secretary of Transportation may waive the Buy America Act requirement if: 1) the requirements would be inconsistent with the Public Interest 2) the products are not produced in the United States in sufficient and reasonably available quantities and of satisfactory quality and 3)use of the products produced in the United States would increase the overall cost of the project by more than 25 percent.

**PART 5**

**Reporting Frequency**

##### Reporting Frequency: The Office of Transportation Safety (OTS) requires three types of reports in conjunction with highway safety projects:

1. Quarterly reports during the life of a project;

2. A final report at the conclusion of a project. This is to include training and consultant reports, if applicable;

3. Special reports as required; and

4. Annual Report Template (to be provided by CDOT)

These reports keep OTS informed of a project’s progress, explain any difficulties encountered, provide a background of information that can be passed on to others, suggest ways in which CDOT can assist with the project and aid in distribution of funds.

##### Quarterly Reports: These are to be submitted quarterly and are due within twenty (20) calendar days after the end of the reporting calendar quarter as follows:

First Quarter: 1 October – 31 December Report due January 20

Second Quarter: 1 January – 31 March Report due April 20

Third Quarter: 1 April – 30 June Report due July 20

Fourth Quarter: 1 July - 30 September Report due October 20

If there is no activity during the reporting period, submit a report indicating so. Any original or innovative ideas or methods employed in your project should be incorporated into your reports.

##### Final Report: The final report is to be submitted within 45 days of contract completion, which is no later than November 15. Final report is to be detailed and must describe whether the project objectives were accomplished, if technical and fiscal problems were encountered, and what improvements in traffic safety have resulted or probably will result. Included in final reports will be copies of publications, training reports and any statistical data generated in project execution. These final reports should discuss the following:

1. Accomplishments compared to the original project objectives;
2. Were all activities of the project completed as scheduled? Include dates and milestones when studies were completed; equipment acquired, installed and operated;
3. Any unanticipated proceedings that affected the project;
4. Funding and costs for completion of the project in relationship to original estimates; and
5. Third party performance if applicable. A copy of any consultant reports should be included with the final report.

**Annual Report Template:** An Annual Report template will be provided to the contractor in late October. The contractor is responsible for providing the requested information and submitting back to OTS. The templates are then used to form the basis of the Office of Transportation Safety’s Annual Report.

**PART 6**

**Reimbursement Requirements**

CDOT funds grants on a “cost reimbursement” basis only. CDOT will not make payments in advance or in anticipation of goods or services.

Claims for reimbursement will be submitted to the TSE on a monthly basis, even if there are no costs incurred (note zero expenditure). Claims are due no later than 30 days after the end of each month.

Final claims for reimbursement must be received by TSE no later than November 1 (for costs incurred through the final contract month of September).

**Claims for reimbursement received after the above cutoff dates will not be reimbursed.**

##### Unallowable Project Costs: All projects must follow appropriate state and federal

funding regulations. Examples of unallowable project costs include, but are not limited to, the following:

* Office furniture and fixtures;
* Routine roadway construction or maintenance; and
* Funds that supplant existing budgets\*

\* Federal regulations prohibit supplanting of funds. Examples of supplanting include: replacing routine and/or existing State or local expenditures with the use of Federal grant funds and/or using Federal grant funds for cost of activities that constitute general expenses required to carry out the overall responsibilities of state, local or Federally recognized Indian tribal government

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**PART 7**

**Signature Requirements**

**I declare under penalty of perjury in the second degree, and any of the applicable state or federal laws, that the statements made and contained under the title Certification and Assurances above are true and complete to the best of my knowledge.**

**I have read and understand the above Reporting and Reimbursement requirements and will comply with these requirements made and contained herein on pages 10 and 11 to the best of my knowledge.**

**Applicant agency/organization Authorizing Official (person with contracting authority):**

*Name Title*

*Address (if different than above) email telephone*

**Signature of Authorized Official Date**

**The agency personnel contact information below must be completed**

|  |  |
| --- | --- |
| **1. CONTRACT/PROJECT DIRECTOR** | **3. PROJECT COORDINATOR** |
| Name:      | Name:       |
| Address:       | Address:       |
| Telephone:       | Telephone:       |
| e-mail:        |  e-mail:        |
| **2. ACCOUNTING RECORDS/CLAIMS** | **4. MAIL PROJECT PAYMENTS TO:** |
| Name:       | Name:       |
| Address:       | Address:       |
| Telephone:       | Telephone:       |
| e-mail:        | e-mail:        |